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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/800,323
		Filing Date	March 11, 2004
		First Named Inventor	Jessica G. Chiu
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	33	Attorney Docket Number	5618P3784

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)  Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Return receipt postcard Copies of cited references (20)</div>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Angelo J. Gaz, Reg. No. 45,907 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 17, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Jean Svoboda		
Signature		Date	May 17, 2004



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number	10/800,323
Filing Date	March 11, 2004
First Named Inventor	Jessica G. Chiu
Examiner Name	
Art Unit	
Attorney Docket No.	5618P3784

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	FeePaid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20 =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	FeePaid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	FeePaid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Angelo J. Gaz	Registration No. (Attorney/Agent)	45,907	Telephone	(310) 207-3800
Signature		Date	05/17/04		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

JESSICA G. CHIU, ET AL.

Application No.: 10/800,323

Filed: March 11, 2004

For: **INFUSION TREATMENT AGENTS,  
CATHETERS, FILTER DEVICES, AND  
OCCLUSION DEVICES, AND USE  
THEREOF**

Art Group:

Examiner:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



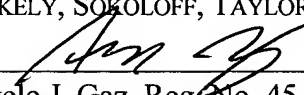
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 5/17/04

  
Angelo J. Gaz, Reg. No. 45,907

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (310) 207-3800

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Jean Svoboda

5/17/04  
Date



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
		Application Number	10/800,323		
		Filing Date	March 11, 2004		
		First Named Inventor	Jessica G. Chiu		
		Art Unit			
		Examiner Name			
		Attorney Docket Number	5618P3784		
Sheet	1	of	7		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2001/0031986	10-18-2001	Hauck	
		US-2002/0010492	01-24-2002	Donovan et al.	
		US-2002/0022863	02-21-2002	Hauck	
		US-2002/0026228	02-28-2002	Schauerte	
		US-2003/0187411	10-02-2003	Constantz	
		US-2001/0044624	11-22-2001	Seraj	
		US-4,171,698	10-23-1979	Genese	
		US-4,313,440	02-02-1982	Ashley	
		US-4,424,057	01-03-1984	House	
		US-4,465,476	08-14-1984	Gahwiler	
		US-4,516,969	05-14-1985	Kintner	
		US-4,581,016	04-08-1986	Gettig	
		US-4,642,102	02-10-1987	Ohmori	
		US-4,685,910	08-11-1987	Schwieizer	
		US-4,689,041	08-25-1987	Corday et al.	
		US-4,693,706	09-15-1987	Ennis, III	
		US-4,702,737	10-27-1987	Pizzino	
		US-4,772,273	09-20-1988	Alchas	
		US-4,850,969	07-25-1989	Jackson	
		US-4,927,412	05-22-1990	Menasche	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	*	WO0205887	01-01-2002	Forman, M. R.		
	✓	WO96/40346	06-07-1995	Klein, E.		
	✓	EP0841073	04-26-1993	O'Neill, W.		
		EP1208867	05-29-2002	Heartport, Inc		
		EP1118348	07-25-2001	Medtronic, Inc.		
		WO99/04836	02-04-1999	Chase Medical Inc.		
		WO96/30073	10-03-1996	Heartport Inc.		
		WO95/16476	06-22-1995	Stevens, John		
		WO01/13983	03-01-2001	Cardeon Corp.		
		WO01/00268	01-04-2001	Daig Corp.		

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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	107800,323
				Filing Date	March 11, 2004
				First Named Inventor	Jessica G. Chiu
				Art Unit	
				Examiner Name	
Sheet	2	of	7	Attorney Docket Number	5618P3784

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		Al-Khadra et al., "The Role of Electroporation in Defibrillation", Circulation Research, American Heart Association, Inc., Cleveland, OH, October 2000, pp. 797-804.		
		Kanno, et al., "Establishment of a Simple and Practical Procedure Applicable to Therapeutic Angiogenesis", American Heart Association, Inc., Sendai, Japan May 1999, pp. 2682-2687.		
		Labhasetwar, et al., "Iontophoresis for modulation of cardiac drug delivery in dogs", Proc. National Acaa, Sci. USA, Medical Sciences, Vol. 92, pp. 2612-2626, March 1995.		
		Verma, Subodh, MD, et al., Fundamentals of Reperfusion Injury for the Clinical Cardiologist (Circulation, 2002 105:2332-2336)		
		Novalija, E., et al., Sevoflurane Mimics Ischemic Preconditioning Effects on Coronary Flow and Nitric Oxide Release in Isolated Hearts (Anesthesiology, Sept. 1, 1999, 91(3)701-12)		
		Angelos, Mark G., MD, et al., Left Ventricular Myocardial Adenosine Triphosphate Changes During Reperfusion of Ventricular Fibrillation: The Influence of Flow and Epinephrine (Critical Care Medicine, vol. 28, no. 5, May 2000)		
		Yost, C. Spencer, MD, Anesthetic Considerations for Minimally Invasive Cardiovascular Procedures (Anesthesiology Clinics of North America), vol. 17, no. 2, June 1999)		
		Braunwald, Eugene MD et al., Heart Disease-A Textbook of Cardiovascular Medicine (6th ed., Copyright 2001)		

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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			Application Number	10/800,323
			Filing Date	March 11, 2004
			First Named Inventor	Jessica G. Chiu
			Art Unit	
			Examiner Name	
Sheet <b>4</b> of <b>7</b>			Attorney Docket Number	5618P3784

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,662,609	09-02-1997	Slepian	
		US-5,674,195	10-07-1997	Truthan	
		US-5,702,368	12-30-1997	Stevens et al.	
		US-5,707,358	01-13-1998	Wright	
		US-5,720,726	02-24-1998	Marcadis et al.	
		US-5,720,727	02-24-1998	Alexander et al.	
		US-5,762,633	06-09-1998	Whisson	
		US-5,769,812	06-23-1998	Stevens et al.	
		US-5,779,685	07-14-1998	Thompson et al.	
		US-5,785,662	07-28-1998	Alexander	
		US-5,795,325	08-18-1998	Valley et al.	
		US-5,807,326	09-15-1998	O'Neill et al.	
		US-5,814,016	09-29-1998	Valley et al.	
		US-5,814,022	09-29-1998	Antanavich et al.	
		US-5,833,659	11-10-1998	Kranys	
		US-5,846,228	12-08-1998	Alexander	
		US-5,858,990	01-12-1999	Walsh	
		US-5,879,336	03-09-1999	Brinon	
		US-5,879,499	03-09-1999	Corvi	
		US-5,913,842	06-22-1999	Boyd et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature	Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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First Named Inventor		Jessica G. Chiu				
Art Unit						
Examiner Name						
Attorney Docket Number		5618P3784				
<b>Sheet</b>	5	of	7			

U.S. PATENT DOCUMENTS					
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		Number - Kind Code <sup>2</sup> (if known)			
		US-5,916,193	06-29-1999	Stevens et al.	
		US-5,944,710	08-31-1999	Dev et al.	
		US-5,964,735	10-12-1999	Alexander	
		US-5,967,988	10-19-1999	Briscoe et al.	
		US-5,968,013	10-19-1999	Smith et al.	
		US-5,989,237	11-23-1999	Fowles et al.	
		US-5,993,418	11-30-1999	Alexander	
		US-6,007,476	12-28-1999	Wascher et al.	
		US-6,010,531	01-04-2000	Donlon et al.	
		US-6,019,750	02-01-2000	Fowles et al.	
		US-6,022,339	02-08-2000	Fowles et al.	
		US-6,024,739	02-15-2000	Ponzi et al.	
		US-6,027,476	02-22-2000	Sterman et al.	
		US-6,043,273	03-28-2000	Duhaylongsod	
		US-6,063,068	05-16-2000	Fowles et al.	
		US-6,071,270	06-06-2000	Fowles et al.	
		US-6,071,271	06-06-2000	Baker et al.	
		US-6,083,215	07-04-2000	Milavetz	
		US-6,087,394	07-11-2000	Duhaylongsod	
		US-6,090,091	07-18-2000	Fowles et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b> Application Number: 10/800,323 Filing Date: March 11, 2004 First Named Inventor: Jessica G. Chiu Art Unit: _____ Examiner Name: _____ Attorney Docket Number: 5618.P3784	
Sheet	7	of	7

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,458,323	10/1/02	Bookstegers	
		US- 6,482,171	11/19/02	Corvi et al.	
		US- 6,485,500	11/26/02	Kokish et al.	
		US- 6,500,145	12/31/02	Bicakci	
		US- 6,569,145	5/27/03	Shmulewitz, et al.	
		US- 6,582,415	6/24/03	Fowles et al.	
		US- 6,610,040	8/26/03	Fowles et al.	
		US- 6,626,862	9/20/03	Duchon et al./	
		US- 6,641,562	11/4/03	Peterson	
		US- 5,053,008	10/1/91	Bajay	
		US- 5,328,471	7/12/94	Slepian	
		US- 5,674,287	10/7/97	Slepian et al.	
		US- 5,749,922	5/12/98	Slepian et al.	
		US- 5,800,538	9/1/98	Slepian et al.	
		US- 5,814,097	9/29/98	Slepian et al.	
		US- 5,947,977	9/7/99	Slepian et al.	
		US- 6,283,127	9/4/01	Sterman et al.	
		US- 6,534,641	3/18/03	Falb/et al.	
		US- 6,575,932	6/10/03	O'Brien et al.	
		US- 6,685,672	2/3/04	Forman	

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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